



Nanoindentation Technique Introduction

21 Jan 2025

Leo CH Lee

Manager – Materials , Design and Manufacturing Facility

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MDMF – MAT Unit

The focal point of MAT unit of MDMF is the research laboratory located in rooms 4228-4230 & 4224 of the Academic Building on the HKUST campus.

Analytical, processing and testing equipment is available.

This Equipment includes instrumentation for **surface and interfacial analysis**, for **characterization of physical properties**, and for **mechanical properties testing** of advanced engineering materials

<https://mdmf.hkust.edu.hk/cads>
<https://mdmf.hkust.edu.hk/mat>



Rm 4224



Rm 4228-4230

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Mechanical Properties Testing

Mechanical Properties Testing Machine	
MTS Universal Testing Machine : 810, 858, 858Torsional	Used to test the tensile strength (pulling) and compressive strength (pushing), flexural strength , bending , shear , and torsion testing.
Nano Indenter : Hysitron, Triboindenter TI 900, TI 980	Used to measure a variety of nanoscale mechanical properties. In addition to hardness measurements , nanoindenter-based techniques enable elastic modulus , creep , viscoelastic properties , interfacial adhesion , and fracture toughness characterization

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Outline

- Materials Mechanical Properties
- Nanoindentation/Bruker Hysitron System
- Contact Mechanics Model – Elastic Modulus & Hardness Determination
- Factors to consider for nanoindentation
- Variations from Ideality/Other Mechanical Properties Measurement



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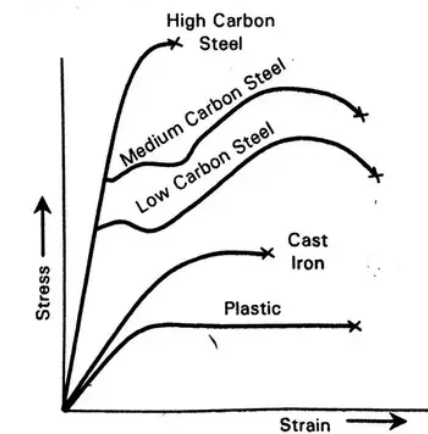
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Materials Mechanical Properties - Tensile Test



Each material has its own unique properties and tensile curve that make it useful for different purposes.
For example : High Carbon steel is **Strong** & **Stiff** and Plastic is often **Lightweight** and **Flexible**



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Background Review



What is Modulus , Hardness , Elastic Deformation, Plastic Deformation ?

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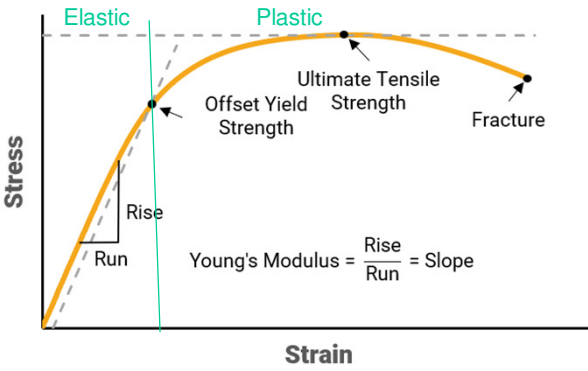


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Materials Mechanical Properties - Tensile Test

In General, tensile testing provides material mechanical properties such as

- Young’s Modulus
- Yield Strength/Ultimate Tensile Strength
- Ductility



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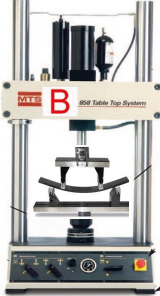


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Materials Mechanical Properties - Tensile Test

MAT is equipped with four Universal Testing Machines of capacities:

- Tension/Compression/Dynamic : 100 KN
- Tension/Compression/Dynamic : 15 KN
- Flexural/Compression/Dynamic : 5 KN
- Tension/ Torsion/ Compression/Dynamic : 25 KN / ± 200Nm



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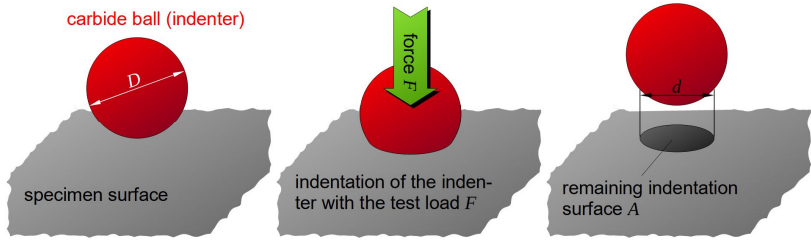


Hardness Testing



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In materials science, **hardness** (antonym: **softness**) is a **measure of the resistance to localized plastic deformation**, such as an indentation (over an area) or a scratch (linear), induced mechanically either by **pressing** or **abrasion**.



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Why Nanoindentation

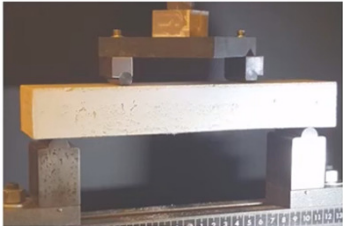


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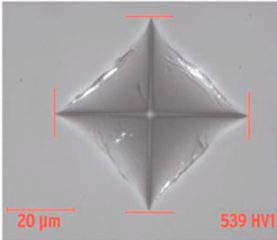
Primarily used for measuring mechanical Properties of thin films or **small volumes** of material

- ✓ Elastic Modulus
- ✓ Hardness
- ✓ Viscoelastic Properties/Creep
- ✓ Cracking/Fracture Toughness

Macro-scale Physical Testing



Micro-scale physical testing:



Source: ASTM, Standardization News May/June 2010
Microindentation Hardness

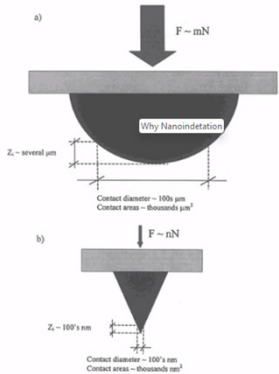


Figure 2. Schematic comparison of the surface adhesion techniques: (a) microindentation; (b) nanoindentation. The penetration depth characteristic of each is noted as Z_c .

Source: Paiva et al., Macromolecules 2001, 34, 2269-2276

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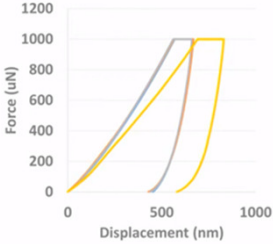
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Practical Application : Effect of disinfectant chemistry on polymer

Nanoindentation used in this study to Determine effect of disinfectant chemistry on polymer materials found in healthcare facilities.



- Acrylic, Untreated
- Acrylic, soaked 24h in Disinfectant A
- Acrylic soaked 24h in Disinfectant B
- Acrylic, soaked 24h in Disinfectant C

Source:
Strader et al., **Nano Life**; Vol. 9, Iss. 4, (Dec 2019).
DOI:10.1142/S1793984419500028

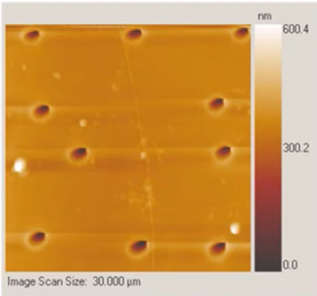


Image Scan Size: 30.000 μ m

Scanned Probe Microscopy (SPM) Image of acrylic sample post-indentation

	Reduced Modulus (GPa)	Hardness (MPa)
Acrylic Untreated	5.20 GPa $\pm$ 0.03	356.5 MPa $\pm$ 6.09
Acrylic Disinfectant A	4.97 GPa $\pm$ 0.03	335.1 MPa $\pm$ 1.53
Acrylic Disinfectant B	5.28 GPa $\pm$ 0.05	355.3 MPa $\pm$ 3.26
Acrylic Disinfectant C	4.50 GPa $\pm$ 0.04	256.0 MPa $\pm$ 3.26

Average modulus and hardness measured for each sample

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How is Nano Displacement Measured ?

- High displacement sensitivity
- High load sensitivity
- Low thermal drift

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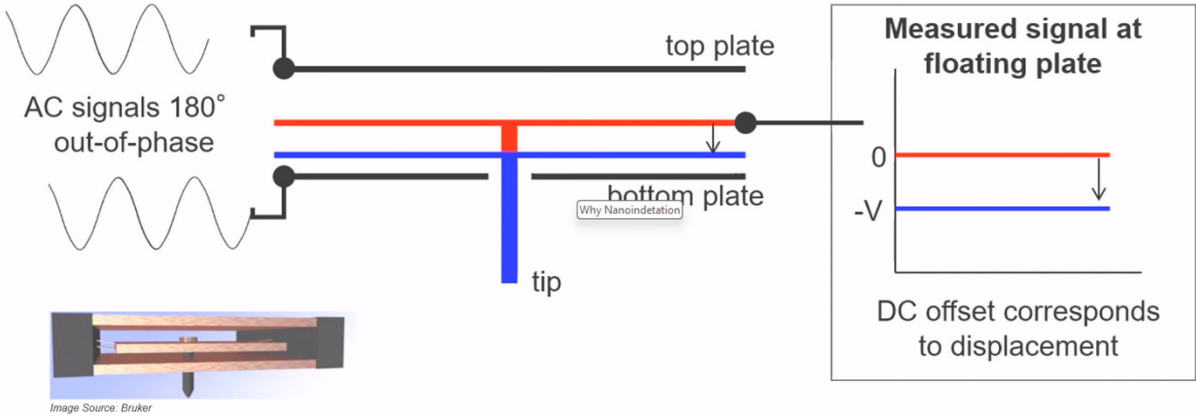


How is Displacement Measured ?
Electrostatic Transducer



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Three-plate capacitor with floating center plate attached to tip :



AC signals 180° out-of-phase

top plate

bottom plate

tip

Why Nanoindentation

Measured signal at floating plate

0

-V

DC offset corresponds to displacement

Image Source: Bruker

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What is the available instrument and accessories in MAT?

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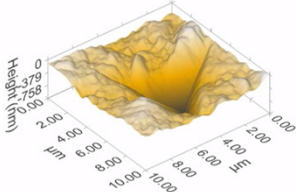
Bruker Hysitron TI980 Triboindenter in MAT

MAT also have a larger transducer 50mN and High Load modulus10N for different application



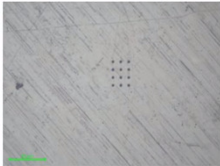
	Indentation Axis	Lateral Axis
Maximum Force	10 mN	2 mN
Maximum Indentation Displacement	5 μm	500 nm
Maximum Lateral Displacement	N/A	15 μm
Thermal Drift	<0.05 nm/sec	<0.05 nm/sec
Load Noise Floor	20 nN	3.5 μN
Load Resolution	1 nN	50 nN
Displacement Resolution	0.006 nm	0.02 nm
Displacement Noise Floor	0.1 nm	2 nm
Maximum Range of Piezoelectric Scanner	60 μm x 60 μm	60 μm x 60 μm

In situ SPM Imaging



Indented surface of bovine dentin

Optical Microscope to navigate sample



Array of indents in Polycarbonate

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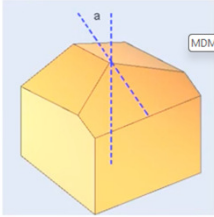
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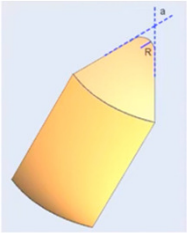


Indentation Tip Selection



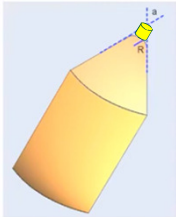
Berkovich Tip

- 3-side pyramidal tip
- $A= 65.35^\circ$ (half angle)
- Multi-purpose tip
- Hardness and modulus testing for thin films > 100 nm



Cube Corner Tip

- 3-side pyramidal tip
- $A= 35.26^\circ$ (half angle)
- Fine tip
- Multi-purpose tip
- Hardness and modulus testing for thin films < 100 nm



Flat End Tip

- 10 μm in diameter
- Compression Testing
- Application: micro-pillar and bending test

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All Capabilities of Bruker TI980



- Quasistatic Nanoindentation
- Dynamic Nanoindentation (nanoDMA)
- Accelerated Property Mapping (XPM)
- Scanning Probe Microscopy (SPM+)
- Nanoscratch
- Nanowear

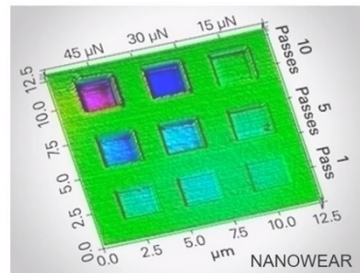
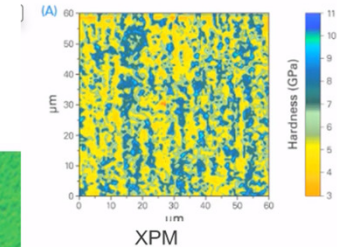
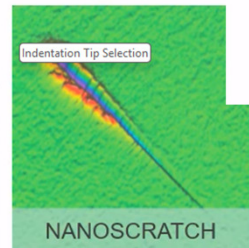


Image Sources: Bruker



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How to measure the Hardness & Modulus using nanoindentation?

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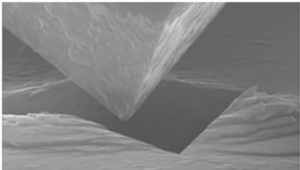
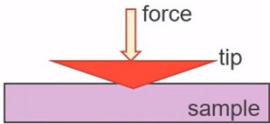
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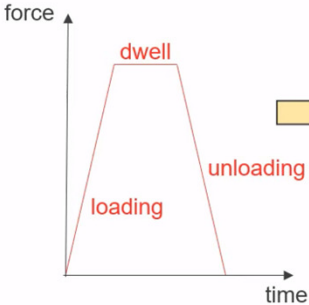
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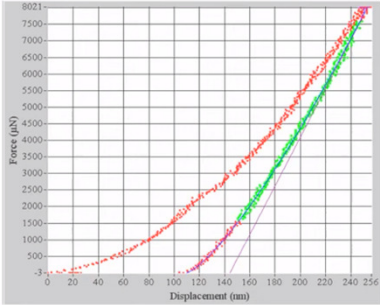
Deformation During Indentation

A rigid probe nanoindenter (like the Bruker TI980) records the vertical displacement (h) of the probe During controlled loading and unloading.



Source: Bruker





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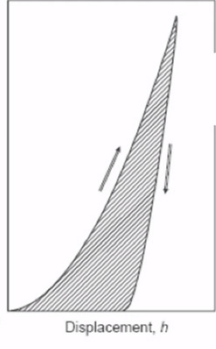
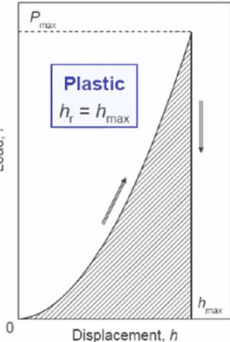
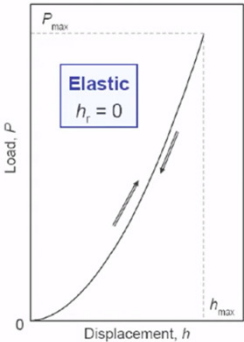


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Deformation During Indentation



$h_r = h_r = \text{residual / final depth}$

- Can treat the response of an elastoplastic material like a completely elastic material with a surface perturbation from plastic deformation . Therefore, similar contact mechanics models can be used.
- Elastic moduli and hardness can be calculated from the mechanical response of material during unloading (by **Oliver-Pharr** Method.)

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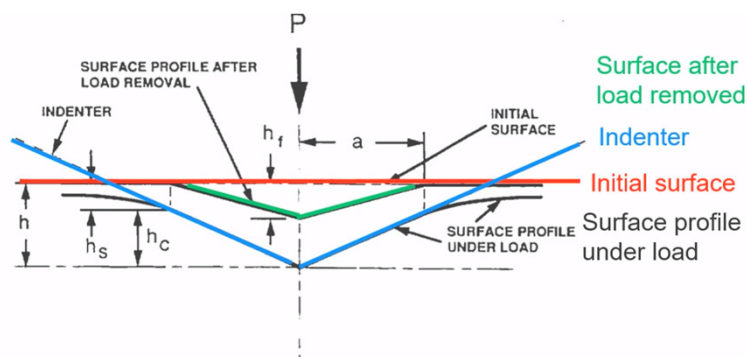
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Surface Profile & Contact Depth



h = penetration depth
 h_c = contact depth = vertical distance along which contact is made
 h_s = surface displacement at perimeter of contact (sink-in)

W.C. Oliver, G. M. Pharr. J. Mater. Res. 7[6] (1992).

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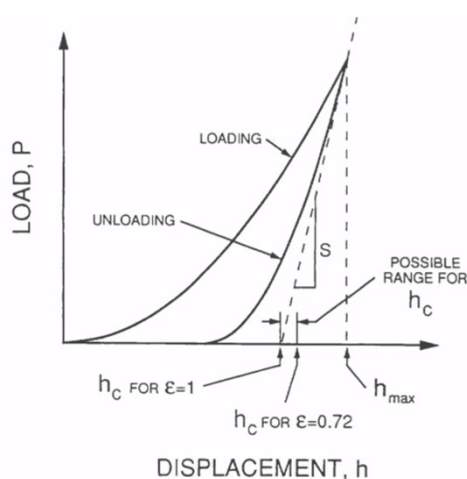
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Sink-in Correction (Oliver-Pharr Method)



h_{max} = penetration depth
 h_c = contact depth = vertical distance along which contact is made
 h_s = surface displacement at perimeter of contact (sink-in)

Correction for sink-in:

$S = dP/dh @ P_{max}$ = stiffness

$h_c = h_{max} - \epsilon P_{max}/S$

ϵ = geometric constant = 0.75 (paraboloid)
 = 0.72 (conical)
 = 1 (flat punch)

W.C. Oliver, G. M. Pharr. J. Mater. Res. 7[6] (1992).

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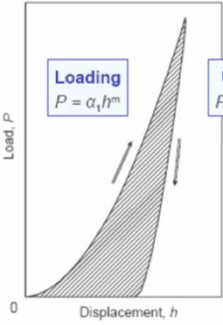
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Unloading Curve Fit

Stiffness determined from slope of function fitted to unloading curve at P_{max}



Loading
 $P = \alpha_1 h^m$

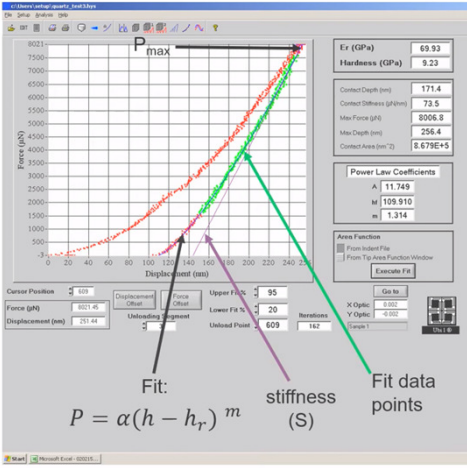
Unloading
 $P = \alpha_2 (h - h_r)^m$

Elastoplastic or Inelastic

Analytical solution

- $m = 1$ for flat cylinders
- $m = 2$ for cones
- $m = 1.5$ for spheres

Sneddon, Int. J. Engng. Sci. 1965



Fit:
 $P = \alpha (h - h_r)^m$

stiffness (S)

Fit data points

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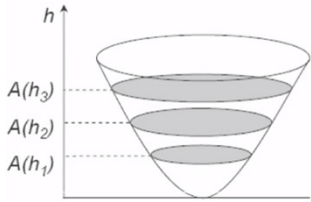


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Tip Area Function / Contact Area Determination

Determine tip area function by indenting a sample of known modulus (e.g., fused quartz with $E_r = 69.6$ GPa):

$$A(h_c) = C_0 h_c^2 + C_1 h_c + C_2 h_c^{1/2} + C_3 h_c^{1/4} + C_4 h_c^{1/8} + C_5 h_c^{1/16}$$

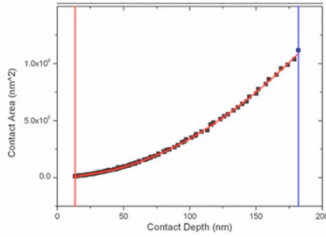


$A(h_3)$
 $A(h_2)$
 $A(h_1)$



10 μm x 10 μm SPM Image of indented silica surface

Example:



Source: Bruker

The calculated projected areas as a function of contact depth are applied to unknown samples to determine modulus and hardness

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Elastic Modulus & Hardness



$$\frac{1}{E_r} = \frac{(1-\nu)^2}{E} + \frac{(1-\nu_i)^2}{E_i}$$

E_r = reduced elastic modulus

E_i = indenter elastic modulus = 1141 GPa (diamond)

ν_i = indenter Poisson's ratio = 0.07 (diamond)

Based on stiffness and **contact area ($A(h_c)$)** at h_{max} , can calculate modulus and hardness (H):

$$E_r = \frac{\sqrt{\pi}}{2} \frac{S}{\sqrt{A(h_c)}}$$

$$H = \frac{P_{max}}{A(h_c)}$$

W.C. Oliver, G. M. Pharr. J. Mater. Res. 7[6] (1992).

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Factors to consider for Nanoindentation



- Sample Prep
- Surface Roughness
- Film Thickness / Substrate Effect
- Indentation Size Effect / Indentation Depth
- Initial Penetration Depth
- Pile-up
- Tip Rounding
- Creep Effects

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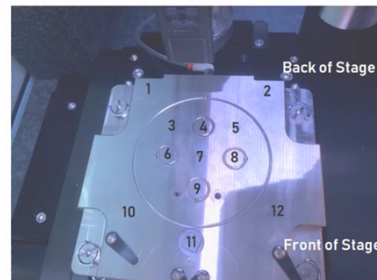
Sample Preparation



Loose objects/samples are generally not good enough to put in the nanoindenter

Recommended:

- Super glue the sample to a magnetic specimen disk (shown right)
- Super glue to a glass slide
- Smooth samples (Wafers, glass slide with coating) can be adhered via air suction without gluing



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Roughness Effect
roughness < 5% of depth

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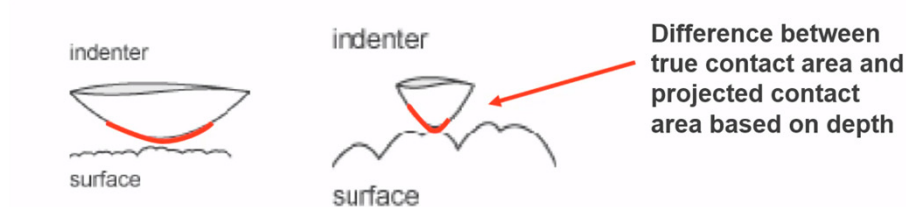
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Surface Roughness



Roughness can affect the measured values of modulus and hardness:



Potential solutions:

- Indent to a depth at which roughness has little effect (roughness <5% of depth)
- Correct for roughness using analytical contact model

$$\frac{H}{H_{flat}} = 1 - \frac{K}{\left(\frac{h}{d_r}\right)^n}$$

d_r = roughness parameter
 K, n = constants

M. S. Bobji and S. K. Biswas. J. Mater. Res. 13[11] 3227 (1998).

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Nanoindentation



Rule of thumb

Indent to depths <10% of film thickness

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Film Thickness

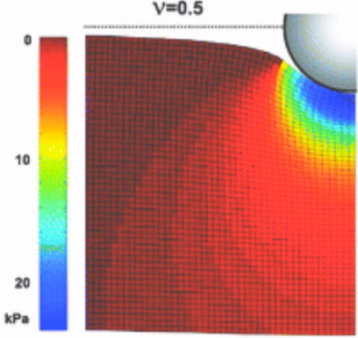


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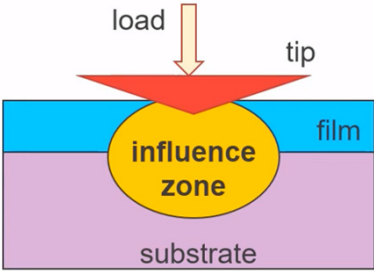
Stress fields much larger than indentation depth. Substrate effects can begin to dominate measured properties for thin films.

Analytical Model of Stress Field

$\nu=0.5$



blue = high compressive stress



- Indent to depths <10% of film thickness (or smaller for soft materials).
- Use analytical models separate substrate effects from measured values.

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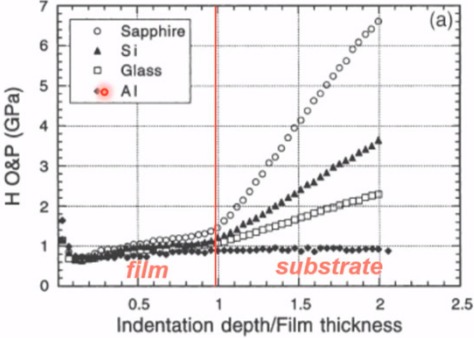
Film Thickness & Substrate Effect



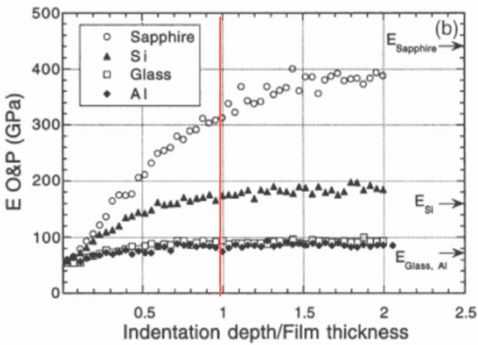
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0.5um aluminum film deposited on different substrates:

Hardness



Young's Modulus



R. Saha and W. Nix. Acta Mater. 50 23 (2002).

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Nanoindentation



Size is matter

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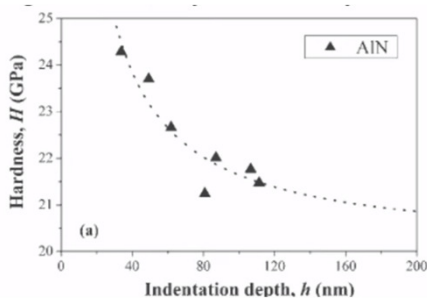
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Indentation Size Effect



For very shallow indents, hardness may increase due to geometrically necessary dislocations loops.



A certain concentration of dislocations is required to maintain the shape of the surface below the indenter.

- For deeper and deeper indents less and less dislocations are required until that concentration reaches the bulk sample concentration
- Dislocation concentration $\uparrow$, yield strength $\uparrow$, hardness $\uparrow$
- Always good practice to indent at multiple depths to confirm consistency

Gao and Huang, Scripta Mater. 48 113 (2003).
Lu, et al. Key Engineering Materials 312 363 (2006).

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Nanoindentation



Problem of testing very Soft Materials

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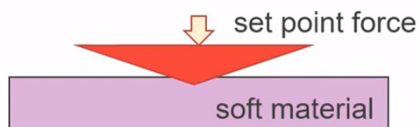


Initial Penetration Depth / Set Point



In order to locate and hold the tip in contact with a sample surface, the indenter applies a minimum force (the set point force) before initiating indentation.

For soft materials, even a small force can lead to significant sink-in and/or deformation



Potential solutions:

- Decrease set point (typically 2uN, decrease to ~1 uN), but be careful not to lose contact with surface
- Lift up tip immediately prior to indenting (function available on Hysitron)

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Nanoindentation



Testing Error

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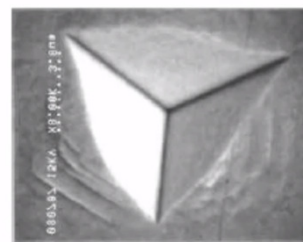
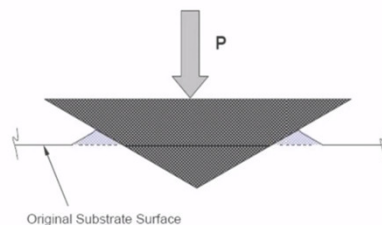
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Pile-up



Pile-up = deformation around tip(as opposed to exclusively below tip)



- Oliver-Pharr interpretation does not account for pile-up
- Interpretation of nanoindentation data frequently gives values somewhat higher than those measured by other methods.

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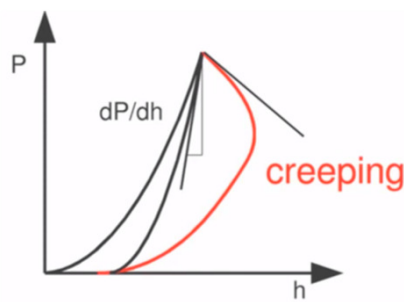


Creep & Viscoelastic Effects



Time-dependent deformation can lead to:

- Rate-dependent measured E and H
- Convolution of unloading with continued deformation



Potential solutions:

- Set unload rate such that it is ideally $>10\times$ creep/relaxation rate
- Increase dwell time to wait for creep rate to stabilize or relaxation rate to decrease

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How to access ?



You can reserve a timeslot of our machines via following link :

https://emz075.ust.hk/MachineBooking/CAD/CAD_MachineBookingForm.html

https://emz075.ust.hk/MachineBooking/MAT/MAT_MachineBookingForm.html



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MATERIALS, DESIGN AND
MANUFACTURING FACILITY(CWB)

Non Destructive Inspection Machine	
3D Surface Metrology : BrukerNPFLEX-1000	Delivers the accurate and repeatable surface texture and roughness metrology
Contact Angle Meter : Biolin Theta	Contact angle, θ , is a quantitative measure of wetting of a solid by a liquid.
Particle Size Analyzer	Measure the size of particle distribution
Scanning Acoustic Microscope (C-SAM)	Using ultrasonic to detect Delamination and crack , Failure analysis, Transducer 10 MHz, 50 MHz scanning area (350 x 350 mm)
Surface Area and Pore Size Distribution Analyzer	Using gas adsorption method to measure Surface area
TecScan 7 Axis Immersion Scanner	Crack, Delamination Detection, Failure analysis , 1Mhz Transducer (Tank dimension: 2.0 X 1.2 X 1.2 m deep)
X-Ray with CT Imaging System	CT, Failure analysis (Recommend 5 x 5 mm)
ZetaPlus (Zeta Potential Nano-particle Analyzer)	Measure the magnitude of the electrostatic repulsion/attraction between particles and is one of the fundamental parameters known to affect stability of dispersed systems. Its measurement brings detailed insight into the causes of dispersion, aggregation or flocculation , and can be applied to improve the formulation of dispersions, emulsions and suspensions.

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